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# Existence of equivariant h-cobordisms

with given Whitehead torsions

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In the present paper, we prove an equivariant version of the existence theorem of an h-cobordism with a given torsion. Let  $G$  be a Lie group acting properly and smoothly on smooth manifolds  $W$  and  $M$  which is a submanifold of  $W$ , and we suppose that  $M/G$  and  $W/G$  are compact. We note that  $G$ ,  $M$  and  $W$  are possibly non-compact.

Theorem 1 (G-Existence Theorem). Let  $G$  be a Lie group and  $M$  be a  $G$ -manifold as above. Suppose that  $M$  satisfies the conditions (1) and (2).

(1) (Codimension  $\geq 3$  condition).

If  $M^{H_i}_\alpha \supset M^{H_j}_\beta$ , then

$$\dim M^{H_i}_\alpha - \dim (M^{H_i}_\alpha \cap G \cdot M^{H_j}_\beta) \geq 3$$

for any pair of components  $M^{H_i}_\alpha$  and  $M^{H_j}_\beta$ .

(2) (Higher dimension condition).

$$\dim M_i / W_\alpha H_i \geq 5 \quad \text{for any components } M^{H_i}_\alpha.$$

Then for each  $\sigma \in \text{Wh}_G(M)$ , there exists a  $G$ -h-cobordism  $(W; M, M')$  such that  $\tau(W, M) = \sigma$ .

The notions appeared in above theorem will be defined below in § 2 and § 3.

In (5) S. Illman introduced a general equivariant simple homotopy theory when  $G$  is a compact Lie group. Furthermore he defined the equivariant Whitehead group  $Wh_G(X)$  of a finite  $G$ -CW complex  $X$  and the equivariant Whitehead torsion  $\tau(f) \in Wh_G(X)$  of a  $G$ -homotopy equivalence  $f : X \rightarrow Y$  between finite  $G$ -CW complexes. The group  $Wh_G(X)$  is defined in a geometric way in analogy with the geometric definition of the ordinary Whitehead group. In (4) H. Hauschild gave an algebraic description of  $Wh_G(X)$ . To prove the existence theorem we take advantage of this method that it gives the chain complexes from which the torsion invariants are to be computed, see § 4. By the analogous method, S. Illman proved that equivariant Whitehead torsion is a combinatorial invariant in (6). This is important to know since equivariant Whitehead torsion is not a topological invariant.

In (1), Araki and kawakubo proved an equivariant version of the  $s$ -cobordism theorem when  $G$  is a compact Lie group and  $M$  is a compact  $G$ -manifold. Unfortunately the  $G$ - $s$ -cobordism theorem does not hold in general, so they need to add some assumptions for the theorem. These results hold under our situation, and we can replace these assumptions with the conditions (1) and (2) above in the Theorem 1. It follows from the  $G$ - $s$ -cobordism theorem and Theorem 1 that the  $G$ - $h$ -cobordism is unique for a given Whitehead torsion. So we can classify  $G$ - $h$ -cobordisms in terms of the equivariant Whitehead torsions.

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## § 2. Preliminaries

We denote by  $G_x$  the isotropy group of  $G$  at  $x \in M$ , i. e.,  $G_x = \{g \in G \mid gx = x\}$ . For any isotropy group  $G_x$ , we denote by  $(G_x)$  the conjugacy class of  $G_x$  in  $G$ , and we call it type of  $x$ . Since  $M/G$  is compact, there is a only finite number of isotropy types, as we now prove:

We prove by induction on the dimension of  $M$ . Suppose that  $\dim M = 0$ , there is a only finite number of isotropy types, since a compact 0-dimensional manifold  $M/G$  consists of finite number of points. Next we assume that it holds for the case where the number of the dimension of  $M$  is less than  $k$ . Let  $M$  be an arbitrary smooth  $G$ -manifold with dimension  $k$  such that  $M/G$  is compact. It follows from the slice theorem that an open tubular neighborhood of any orbit space is  $G$ -diffeomorphic to  $G \times_{G_x} S_x$ , where  $S_x$  is a slice of  $x$ . Then we have an open covering of  $M$  ;  $\{G \times_{G_x} S_x\}_{x \in M}$ , and an open covering of  $M/G$  ;  $\{(G \times_{G_x} S_x)/G\}_{x \in M}$ . Since  $M/G$  is compact we can choose a finite number of  $x \in M$  such that  $\{(G \times_{G_x} S_x)/G\}$  is also an open covering of  $M/G$ .

So it is enough to show that there is an only finite number of isotropy types appearing in  $G \times_{G_X} S_X$ . We now denote

$$\nu = G \times_{G_X} S_X.$$

The isotropy group of  $G$  at  $(g, \nu) \in \nu$  is of form as follows,

$$G_{(g, \nu)} = g \cdot (G_X)_\nu \cdot g^{-1}.$$

Since  $G_X$  acts  $S_X$  linearly, we have that

$$(G_X)_\nu = (G_X)_{t\nu},$$

for any  $t(\neq 0) \in \mathbb{R}$ , i. e.,

$$G_{(g, \nu)} = G_{(g, t\nu)},$$

for any  $t(\neq 0) \in \mathbb{R}$ . As is well known, there is a  $G$ -invariant Riemannian metric on  $\nu$ , see (8). Let  $S(\nu)$  be a unit sphere bundle. Obviously we have that

$$\{ \text{type appearing in } \nu \}$$

$$= \{ \text{type appearing in } S(\nu) \} \cup \{ (G_X) \}.$$

$S(\nu)$  is a  $k-1$  dimensional smooth  $G$ -manifold. Thus we have shown that there is a finite number of isotropy types appearing in  $S(\nu)$ . It follows the result.

Then we denote

$$\{(G_X) \mid x \in M\} = \{(H_1), (H_2), \dots, (H_k)\}.$$

It is possible to arrange  $\{(H_i)\}$  in such an order that  $(H_i) \supset (H_j)$  implies  $i \leq j$ , where  $(H_i) \supset (H_j)$  means that a conjugate of  $H_j$  is contained in  $H_i$ .

Next we recall the definition of so called the Kawakubo filtration of  $(G, M)$ ,  $M = M_1 \supset M_2 \supset \dots \supset M_k$  in (2), which consists of  $G$ -manifolds with corners such that

$$\{(G_x) \mid x \in M_1\} = \{(H_1), (H_{i+1}), \dots, (H_k)\}$$

as follows. We may identify the equivariant normal bundle  $\nu_1$  of  $M^{(H_1)}$  in  $M_1$  with an open tubular neighborhood of  $M^{(H_1)}$  in  $M_1$  and impose a  $G$ -invariant Riemannian metric on  $\nu_1$ , see (6). Concerning the metric on  $\nu_1$ , we set

$$M_2 = M - \overset{\circ}{\nu}_1(1),$$

where  $\overset{\circ}{\nu}_1(\varepsilon)$  stands for the open disk bundle of radius  $\varepsilon$  in  $\nu_1$ . Note that

$$\{(G_x) \mid x \in M_2\} = \{(H_2), (H_3), \dots, (H_k)\}.$$

Suppose that we get a filtration  $M = M_1 \supset M_2 \supset \dots \supset M_j$  of  $M$  such that

$$\{(G_x) \mid x \in M_j\} = \{(H_j), (H_{j+1}), \dots, (H_k)\}.$$

We may identify the equivariant normal bundle  $\nu_j$  of  $M_j^{(H_j)}$  in  $M_j$  with an open tubular neighborhood of  $M_j^{(H_j)}$  in  $M_j$  and impose a  $G$ -invariant Riemannian metric on  $\nu_j$ . Concerning the metric on  $\nu_j$ , we set

$$M_{j+1} = M_j - \overset{\circ}{\nu}_j(1).$$

Note that

$$\{(G_x) \mid x \in M_{j+1}\} = \{(H_{j+1}), (H_{j+2}), \dots, (H_k)\}.$$

This completes the inductive construction.

Putting  $X_j = G \setminus M_j$ , we have a filtration  $X = X_1 \supset X_2 \supset \dots \supset X_k$  of  $X$ .

Let  $H_i$  be an isotropy group appearing in  $M$ . We denote

$$M^{<H_i>} = \{x \in M \mid G_x = H_i\}$$

$$M^{(H_i)} = \{x \in M \mid (G_x) = (H_i)\} = G \cdot M^{<H_i>}$$

$$M^{H_i} = \{x \in M \mid hx = x \text{ for any } h \in H_i\}.$$

Let  $M^{H_i} = \coprod_{\lambda} M^{H_i}_{\lambda}$  be the decompositions of  $M^{H_i}$  into connected components. We denote by  $WH_i$  the quotient group of the normalizer of  $H_i$  in  $G$  by  $H_i$ . The  $WH_i$ -action on  $M^{H_i}$  induces the  $WH_i$ -action on the set of connected components of  $M^{H_i}$ . Taking  $WH_i$  orbits of the induced action, we get a decomposition

$$M^{H_i} = \coprod_{\alpha} WH_i \cdot M^{H_i}_{\alpha}$$

as a topological sum of  $WH_i$ -subspaces, where  $M^{H_i}_{\alpha}$ 's are connected components of  $M^{H_i}$ . We denote

$$W_{\alpha} H_i = \{w \in WH_i \mid w \cdot M^{H_i}_{\alpha} \subset M^{H_i}_{\alpha}\}$$

which is a closed subgroup of  $WH_i$ . Then we put

$$M_{i\alpha} = M_i \cap M^{<H_i>}_{\alpha},$$

$$X_{i\alpha} = X_i \cap X^{(H_i)}_{\alpha}, \quad \text{where } X^{(H_i)}_{\alpha} = M^{(H_i)}_{\alpha} / G.$$

It is easy to see that

$$X^{(H_i)}_{\alpha} = M^{<H_i>}_{\alpha} / W_{\alpha} H_i,$$

$$X_{i\alpha} = M_{i\alpha} / W_{\alpha} H_i.$$

We now replace  $M$  by  $W$ , and consider two conditions.

(1)' (Codimension  $\geq 3$  condition).

If  $W^{H_i}_{\alpha} \supset W^{H_j}_{\beta}$ , then

$$\dim W^{H_i}_{\alpha} - \dim (W^{H_i}_{\alpha} \cap G \cdot W^{H_j}_{\beta}) \geq 3$$

for any pair of components  $W^{H_i}_{\alpha}$  and  $W^{H_j}_{\beta}$ .

(2)' (Higher dimension condition).

$$\dim W_{i\alpha} / W_{\alpha} H_i \geq 6 \quad \text{for any components } W^{H_i}_{\alpha}.$$

Note that  $H_i$  is a maximal isotropy group appearing in  $W_i$ . If  $W$  satisfies the conditions (1)' and (2)', the  $G$ -s-cobordism theorem holds. Furthermore an equivariant version of the s-cobordism theorem holds under our situations that  $G$  is a Lie group acting properly and

smoothly on smooth manifolds  $M$  and  $W$ , and that  $M/G$  and  $W/G$  are compact.

$(W; M, M')$  is called a smooth  $G$ -h-cobordism, if  $W$  is a  $G$ -manifold with boundary  $\partial W = M \amalg M'$  (disjoint union) and the inclusion maps

$$i: M \rightarrow W \quad \text{and} \quad i': M' \rightarrow W$$

are  $G$ -homotopy equivalences. Then we consider other conditions.

(1) (Codimension  $\geq 3$  condition).

If  $M^{H_i}_\alpha \supset M^{H_j}_\beta$ , then

$$\dim M^{H_i}_\alpha - \dim (M^{H_i}_\alpha \cap G \cdot M^{H_j}_\beta) \geq 3$$

for any pair of components  $M^{H_i}_\alpha$  and  $M^{H_j}_\beta$ .

(2) (Higher dimension condition).

$$\dim M_i / W_{\alpha} H_i \geq 5 \quad \text{for any components } M^{H_i}_\alpha.$$

It should be noted that a  $G$ -h-cobordism  $(W; M, M')$  satisfies the conditions (1)' and (2)' if and only if it satisfies the conditions (1) and (2).

### § 3. Equivariant Whitehead torsions

In this section we first define the equivariant Whitehead group  $Wh_G(M)$  for a smooth  $G$ -manifold  $M$  and try to decompose  $Wh_G(M)$ , refer to (3).

For a compact Lie subgroup  $H$  of  $G$ ,  $(G/H) \times D^n$  is a  $G$ -space together with a proper  $G$ -action.

$(G/H) \times D^n$  is called an  $n$ - $G$ -cell, and  $(H)$  is called (isotropy) type of the  $n$ - $G$ -cell  $(G/H \times) D^n$ . Here  $D^n$



is a unit  $n$ -disk of  $\mathbb{R}^n$ , and  $G$  acts  $D^n$  trivially. By a finite relative  $G$ -CW complex  $(V, M)$ , we shall mean a  $G$ -space together with a proper  $G$ -action such that  $V$  is obtained from a smooth  $G$ -manifold  $M$  by attaching a finite number of  $G$ -cells. We now consider the set,

$$A_G(M) = \{(V, M) \mid (V, M) \text{ is a finite relative } G\text{-CW complex, and } M \text{ is a } G\text{-deformation retract of } V\}.$$

Let  $(V_1, M)$  and  $(V_2, M)$  be elements of  $A_G(M)$ . If there is a formal  $G$ -deformation from  $V_1$  to  $V_2$  we write  $V_1 \xrightarrow{G} V_2$ . This is clearly an equivalence relation and we let  $\tau(V, M)$  denote the equivalence class of  $(V, M)$ . An addition of equivalence classes is defined by setting

$$\tau(V_1, M) + \tau(V_2, M) = \tau(V_1 \cup_M V_2, M)$$

where  $V_1 \cup_M V_2$  is the disjoint union of  $V_1$  and  $V_2$  identified by the identity map on  $M$ .

The equivariant Whitehead group for a smooth  $G$ -manifold  $M$  is defined to be the set of equivalence classes with the given addition and is denoted  $Wh_G(M)$ ;

$$Wh_G(M) = A_G(M) / \sim,$$

and an element  $\tau(V, M)$  of  $Wh_G(M)$  is called the Whitehead  $G$ -torsion of  $(V, M)$ .

If  $f : M_1 \rightarrow M_2$  is a  $G$ -map, we define

$$\begin{aligned} f_{\#} : Wh_G(M_1) &\rightarrow Wh_G(M_2) \\ \tau(V, M_1) &\rightarrow \tau(V \cup_f M_2, M_2). \end{aligned}$$

It is known that  $f_{\#} = g_{\#}$  if  $f, g : M_1 \rightarrow M_2$  are  $G$ -homotopic, refer to (3). Let  $r : V \rightarrow M$  be the  $G$ -

retraction and  $M_r$  be the mapping cylinder of  $r$ . We put

$$\overline{M}_r = M_r / \sim,$$

where  $\sim$  means an equivalence relation that  $M \times I$  and  $M$  identified by the projection map  $p : M \times I \rightarrow M$ .

Then we have

$$-\tau(V, M_1) = r_{\#} \tau(\overline{M}_r, V),$$

refer to (3). So  $Wh_G(M)$  is an abelian group.

Now we review an algebraic decomposition of  $Wh_G(M)$ . We have a Lie group  $\Gamma_{i\alpha}$  for each  $WH_i\alpha$ , satisfying the following short exact sequence;

$$1 \rightarrow \pi_1(MH_i\alpha) \rightarrow \Gamma_{i\alpha} \rightarrow W_{\alpha}H_i \rightarrow 1.$$

Then we have that

$$\begin{aligned} Wh_G(M) &\cong \coprod_{(H_i)} Wh_G(M, (H_i)) \\ &\cong \coprod_{(H_i)} Wh_{WH_i}(MH_i, \{e\}) \quad (\text{see (4)}) \\ &\cong \coprod_{(H_i), \alpha} Wh_{WH_i}(WH_i \cdot MH_i\alpha, \{e\}) \\ &\cong \coprod_{(H_i), \alpha} Wh_{W_{\alpha}H_i}(MH_i\alpha, \{e\}) \\ &\cong \coprod_{(H_i), \alpha} Wh_{\Gamma_{i\alpha}}(\widetilde{MH}_i\alpha, \{e\}) \\ &\cong \coprod_{(H_i), \alpha} Wh_{\text{alg}}(\pi_0(\Gamma_{i\alpha})), \quad (\text{see (1)}) \end{aligned}$$

where

$$\begin{aligned} Wh_G(M, (H_i)) &= \{ \tau(V, M) \in Wh_G(M) \mid (G_x) = (H_i) \\ &\quad \text{for any } x \in V-M \}. \end{aligned}$$

## § 4. Proof of G-Existence theorem

At first we will show that

$$\coprod_{(H_i), \alpha} \text{Wh}_{\text{alg}}(\pi_0(\Gamma_{i\alpha})) = \coprod_{(H_i), \alpha} \text{Wh}_{\text{alg}}(\pi_1(X_{i\alpha})).$$

Lemma 2. If  $M$  satisfies the codimension  $\geq 3$  condition (1) above, then there is a natural isomorphism,

$$\partial : \pi_1(X_{i\alpha}) \rightarrow \pi_0(\Gamma_{i\alpha}).$$

Proof. It follows from the codimension  $\geq 3$  condition (1), and definition of  $M_{i\alpha}$  that

$$\pi_1(MH_{i\alpha}) = \pi_1(M^{<H_i>_{\alpha}}) = \pi_1(M_{i\alpha}).$$

Since  $\Gamma_{i\alpha}$  acts freely on the universal covering space  $\tilde{M}_{i\alpha}$  of  $M_{i\alpha}$  and since  $W_{\alpha}H_i$  acts freely on  $M_{i\alpha}$ , we have a fibration

$$\begin{array}{ccccc} \tilde{M}^{H_i}_{i\alpha} & \supset & \tilde{M}_{i\alpha} & \supset & \Gamma_{i\alpha} \\ & & \downarrow & & \\ M^H_{i\alpha} & \supset & M_{i\alpha} & \supset & W_{\alpha}H_i \\ & & \downarrow & & \\ & & X_{i\alpha} & & \end{array}$$

From the homotopy exact sequence

$$\begin{array}{ccccccc} \rightarrow \pi_1(\tilde{M}_{i\alpha}) & \rightarrow & \pi_1(\Gamma_{i\alpha} \setminus \tilde{M}_{i\alpha}) & \rightarrow & \pi_0(\Gamma_{i\alpha}) & \rightarrow & \pi_0(\tilde{M}_{i\alpha}) \rightarrow \\ \parallel & & \parallel & & & & \parallel \\ (1) & & \pi_1(X_{i\alpha}) & & & & (0) \end{array}$$

follows the result.  $\square$

Thus we can write that  $\tau = \coprod_{(H_i), \alpha} \tau_{i\alpha}$  for

$$\tau_{i\alpha} \in \text{Wh}_{\text{alg}}(\pi_1(X_{i\alpha})).$$

Now, each  $M_{i\alpha}$  is a principal  $W_\alpha H_i$ -bundle over  $X_{i\alpha}$ . Let  $V$  be a  $W_\alpha H_i$ -CW complex such that  $\tau(V, M_{i\alpha}) \in \text{Wh}_{W_\alpha H_i}(M_{i\alpha}, \{e\})$ . Note that a fixed point free formal  $W_\alpha H_i$ -deformation of the total space  $(V, M_{i\alpha})$  induces a unique formal deformation of  $(V/W_\alpha H_i, M_{i\alpha}/W_\alpha H_i) = (K, X_{i\alpha})$  and vice versa. It follows that the projection map  $M_{i\alpha} \rightarrow X_{i\alpha}$  induces an isomorphism

$$\phi: \text{Wh}_{W_\alpha H_i}(M_{i\alpha}, \{e\}) \rightarrow \text{Wh}_{\{e\}}(X_{i\alpha}) \cong \text{Wh}_{\text{alg}}(\pi_1(X_{i\alpha})).$$

Then we have

Lemma 3. The inclusion map  $\eta: M_{i\alpha} \rightarrow M^{H_i}_\alpha$  induces an isomorphism

$$\eta_*: \text{Wh}_{W_\alpha H_i}(M_{i\alpha}, \{e\}) \rightarrow \text{Wh}_{W_\alpha H_i}(M^{H_i}_\alpha, \{e\})$$

for any  $\alpha$  and  $i$ , making the following diagram commute.

$$\begin{array}{ccc} \text{Wh}_{W_\alpha H_i}(M_{i\alpha}, \{e\}) & \xrightarrow{\eta_*} & \text{Wh}_{W_\alpha H_i}(M^{H_i}_\alpha, \{e\}) \\ \downarrow \phi & & \downarrow \phi \end{array}$$

$$\text{Wh}_{\text{alg}}(\pi_1(X_{i\alpha})) \xrightarrow{\partial_*} \text{Wh}_{\text{alg}}(\pi_0(\Gamma_{i\alpha}))$$

Here  $\partial_*$  is an isomorphism induced by the isomorphism  $\partial$  obtained in Lemma 2 and  $\phi$  is appeared in algebraic decomposition in (2).

Proof. For any  $\tau(V, M_{i\alpha}) \in \text{Wh}_{W_\alpha H_i}(M_{i\alpha}, \{e\})$ , the image  $\phi(\tau(V, M_{i\alpha}))$  is nothing but the torsion  $\tau(C)$

of the chain complex  $C = \{C_j\}$ ;

$$\begin{aligned} C_j &= H_j(\tilde{K}^j \cup \tilde{X}_{i\alpha}, \tilde{K}^{j-1} \cup \tilde{X}_{i\alpha}) \\ &= H_j\left(\coprod_{k=1, \dots, m} \pi_1(X_{i\alpha}) \times E^j_k, \coprod_{k=1, \dots, m} \pi_1(X_{i\alpha}) \times \partial E^j_k\right) \\ &= Z((\pi_1(X_{i\alpha}))) \otimes (e^j_1, e^j_2, \dots, e^j_m) \end{aligned}$$

where  $\tilde{K}$  is the universal covering of  $K$ ,  $\tilde{K}^j$  is the underlying topological space of the  $j$ -skeleton of  $\tilde{K}$ ,  $E^j$  is a  $j$ -cell of  $\tilde{K} - \tilde{X}_{i\alpha}$ , and  $m$  is the number of  $j$ -cells which are contained in  $K - X_{i\alpha}$ .

On the other hand

$$\eta_*(\tau(V, M_{i\alpha})) = \tau(V \cup M^{H_{i\alpha}}, M^{H_{i\alpha}}) \in \text{Wh}_{W_0 H_L}(M^{H_{i\alpha}}, \{e\}).$$

Hence  $\psi \cdot \eta_*(\tau(V, M_{i\alpha}))$  is the torsion  $\tau(C')$  of the chain complex  $C' = \{C'_j\}$ ;

$$\begin{aligned} C'_j &= H_j(\tilde{V}^j \cup \tilde{M}^{H_{i\alpha}}, \tilde{V}^{j-1} \cup \tilde{M}^{H_{i\alpha}}) \\ &= H_j\left(\coprod_{k=1, \dots, m} \Gamma_{i\alpha} \times \tilde{E}^j_k, \coprod_{k=1, \dots, m} \Gamma_{i\alpha} \times \partial \tilde{E}^j_k\right) \\ &= Z(\pi_0(\Gamma_{i\alpha})) \otimes (\tilde{e}^j_1, \dots, \tilde{e}^j_m), \end{aligned}$$

where  $\tilde{V}^j$  is the underlying topological space of the  $W_\alpha H_{i-j}$ -skeleton of  $V$ ,  $\tilde{E}^j$  is a  $j$ -cell of  $\tilde{V}^j \cup \tilde{M}^{H_{i\alpha}}$  which is a lift of  $E^j$ , and  $m$  is the number of  $W_\alpha H_{i-j}$ -cells which are contained in  $V - M_{i\alpha}$ , see (2).

It suffices to prove that  $\partial_* \tau(C) = \tau(C')$ . Put

$$\begin{aligned} C''_j &= H_j(\tilde{V}^j \cup \tilde{M}_{i\alpha}, \tilde{V}^{j-1} \cup \tilde{M}_{i\alpha}) \\ &= H_j\left(\coprod_{k=1, \dots, m} \Gamma_{i\alpha} \times \tilde{E}^j_k, \coprod_{k=1, \dots, m} \Gamma_{i\alpha} \times \partial \tilde{E}^j_k\right) \\ &= Z(\pi_0(\Gamma_{i\alpha})) \otimes (\tilde{e}^j_1, \dots, \tilde{e}^j_m). \end{aligned}$$

Let  $\Gamma_{i\alpha,0}$  be the component of  $\Gamma_{i\alpha}$  including the unit element. Since  $\tilde{V}/\Gamma_{i\alpha,0}$  is a covering space of  $K$  and  $\pi_1(\tilde{V}/\Gamma_{i\alpha,0})$  is trivial, we may regard  $\tilde{K}$  as

the quotient space of  $\tilde{V}$  by the action of  $\Gamma_{i\alpha,0}$ . Let  $q : \tilde{V} \rightarrow \tilde{K}$  be the quotient map. Then we may regard  $q$  as a fiber preserving map between the  $\Gamma_{i\alpha}$ -bundle  $\tilde{V}$  and the  $\pi_1(X_{i\alpha})$ -bundle  $\tilde{K}$  which have the same base space  $K$ . The restriction of  $q$  to  $\tilde{M}_{i\alpha}$  is a fiber preserving map between the sub  $\Gamma_{i\alpha}$ -bundle  $\tilde{M}_{i\alpha}$  and the sub  $\pi_1(X_{i\alpha})$ -bundle  $\tilde{X}_{i\alpha}$ , so we have the following commutative diagram between two exact sequences.

$$\begin{array}{ccccccc} \rightarrow & \pi_1(\tilde{M}_{i\alpha}) & \rightarrow & \pi_1(X_{i\alpha}) & \xrightarrow{\partial} & \pi_0(\Gamma_{i\alpha}) & \rightarrow \\ & \downarrow & & \parallel & & \downarrow f & \\ \rightarrow & \pi_1(\tilde{X}_{i\alpha}) & \rightarrow & \pi_1(X_{i\alpha}) & \xrightarrow[\text{id}]{\cong} & \pi_0(\pi_1(X_{i\alpha})) & \rightarrow \end{array}$$

Thus  $f : \pi_0(\Gamma_{i\alpha}) \rightarrow \pi_0(\pi_1(X_{i\alpha})) = \pi_1(X_{i\alpha})$  is the isomorphism  $\partial^{-1}$ . The action of  $\pi_1(X_{i\alpha})$  on  $\tilde{K}$  can be identified that of  $\pi_0(\Gamma_{i\alpha})$  via the homomorphism

$$\Gamma_{i\alpha} \rightarrow \Gamma_{i\alpha,0} \setminus \Gamma_{i\alpha} = \pi_0(\Gamma_{i\alpha})$$

which is induced by  $q$ . So the quotient map  $q$  induces a  $\mathbb{Z}(\pi_0(\Gamma_{i\alpha}))$ -homomorphism  $q_{\#} : C''_j \rightarrow C_j$ , if we regard  $C_j$  as a  $\mathbb{Z}(\pi_0(\Gamma_{i\alpha}))$ -module via  $f$ . On the other hand we have an excision isomorphism  $i_{\#} : C''_j \rightarrow C'_j$  induced by the inclusion map

$\tilde{V} \cup \tilde{M}_{i\alpha} \rightarrow \tilde{V} \cup \tilde{M}^{H_{i\alpha}}$ , since

$$\begin{aligned} ((\tilde{V}^j) \cup \tilde{M}_{i\alpha}) - ((\tilde{V}^{j-1}) \cup \tilde{M}_{i\alpha}) \\ = ((\tilde{V}^j) \cup \tilde{M}^{H_{i\alpha}}) - ((\tilde{V}^{j-1}) \cup \tilde{M}^{H_{i\alpha}}). \end{aligned}$$

We may identify two  $\mathbb{Z}(\pi_0(\Gamma_{i\alpha}))$ -modules  $C'_j$  and  $C''_j$  by  $i_{\#}$ .

We now put  $\tau(C) = (a_{lk}) \in \text{Wh}_{\text{alg}}(\pi_1(X_{i\alpha}))$  where  $a_{lk} \in \mathbb{Z}(\pi_1(X_{i\alpha}))$ . Let  $\bar{\tau}$  and  $\bar{\partial}$  be isomorphisms

between the integral group rings induced by  $f$  and  $\partial$ , respectively. Then

$$\begin{aligned}\phi \cdot \eta_*(\tau(V, M_{i\alpha})) &= (F^{-1}(a_{1k})) \\ &= (\overline{\partial}(a_{1k})) \in \text{Wh}_{\text{alg}}(\pi_0(\Gamma_{i\alpha})).\end{aligned}$$

This completes the proof of Lemma 3.  $\square$

We now construct an  $(n+1)$ -dimensional smooth  $G$ -manifold  $W$  with  $\tau(W, M) = \tau$ , where  $\dim M = n$ . From the higher dimension condition (2), we have an  $h$ -cobordism  $(Y_{i\alpha}; X_{i\alpha})$  with  $\tau(Y_{i\alpha}, X_{i\alpha}) = \tau_{i\alpha}$ .  $Y_{i\alpha}$  is obtained from  $X_{i\alpha} \times I$  by attaching handles of indices 2 and 3 to  $X_{i\alpha} \times \{1\}$ , see (8), where  $I = (0, 1)$ . Let

$$r_{i\alpha} : Y_{i\alpha} \rightarrow X_{i\alpha}$$

be a smooth retraction. We have an induced smooth bundle  $r^*(G \cdot M_{i\alpha})$ . By the projection

$$\pi_{i\alpha} : r^*(G \cdot M_{i\alpha}) \rightarrow G \cdot M_{i\alpha},$$

we have again an induced bundle  $\pi_{i\alpha}^*(\nu_{i\alpha}(1/2))$ , where  $\nu_{i\alpha}(1/2)$  is a closed tubular neighborhood of  $G \cdot M_{i\alpha}$ . Note that  $\pi_{i\alpha}^*(\nu_{i\alpha}(1/2))$  is an  $(n+1)$ -dimensional smooth  $G$ -manifold. Let

$$r_{i\alpha}' = r_{i\alpha} | X_{i\alpha} \times I$$

$$\pi_{i\alpha}' = \pi_{i\alpha} | r_{i\alpha}'^*(G \cdot M_{i\alpha}).$$

Then we have that

$$r_{i\alpha}'^*(G \cdot M_{i\alpha}) \supset r_{i\alpha}'^*(G \cdot M_{i\alpha}) = G \cdot M_{i\alpha} \times I$$

$$\pi_{i\alpha}'^*(\nu_{i\alpha}(1/2)) \supset \pi_{i\alpha}'^*(\nu_{i\alpha}(1/2))$$

$$= \nu_{i\alpha}(1/2) \times I$$

and a commutative diagram with fiber bundles in the

vertical:

$$\begin{array}{ccccc}
 \nu_{i\alpha}(1/2) & \leftarrow & \pi_{i\alpha}^*(\nu_{i\alpha}(1/2)) & \supset & \pi_{i\alpha}'^*(\nu_{i\alpha}(1/2)) \\
 \downarrow & & \downarrow & & \\
 G \cdot M_{i\alpha} & \xleftarrow{\pi_{i\alpha}} & r_{i\alpha}^*(G \cdot M_{i\alpha}) & \supset & r_{i\alpha}'^*(G \cdot M_{i\alpha}) \\
 \downarrow & & \downarrow & & \\
 X_{i\alpha} & \xleftarrow{r_{i\alpha}} & Y_{i\alpha} & & 
 \end{array}$$

By the definition of the Kawakubo filtration, we have

$$M = \bigcup_{(H_i), \alpha} \nu_{i\alpha}(1) = \bigsqcup_{(H_i), \alpha} \nu_{i\alpha}(1) / \sim$$

$$M \times I = \bigcup_{(H_i), \alpha} \nu_{i\alpha}(1) \times I = \bigsqcup_{(H_i), \alpha} \pi_{i\alpha}'^*(\nu_{i\alpha}(1)) / \sim \times I$$

and thus

$$W = \bigsqcup_{(H_i), \alpha} \{ \pi_{i\alpha}^*(\nu_{i\alpha}(1/2)) \cup \pi_{i\alpha}'^*(\nu_{i\alpha}(1)) \} / \sim \times I$$

(see figure 1).

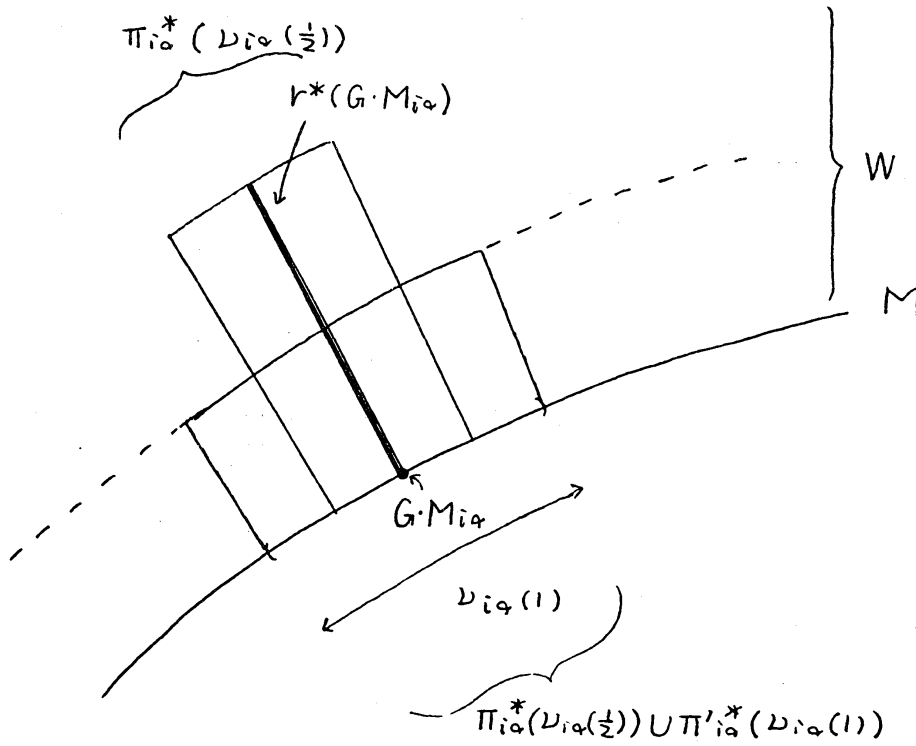


figure 1.



By smoothing the corners, we may assume  $W$  to be smooth.

Finally we will show that  $\tau(W, M) = \tau$ . We put  $W' = \coprod_{(H_i), \alpha} (r_{i\alpha}^*(G \cdot M_{i\alpha}) \cup \pi_{i\alpha}'^*(\nu_{i\alpha}(1))) / \sim \times I$ .

Since  $\nu_{i\alpha}(1/2)$  collapses to  $G \cdot M_{i\alpha}$  for all  $i$  and  $\alpha$ ,  $(W, M)$  collapses to  $(W', M)$ , (compare figure 2).

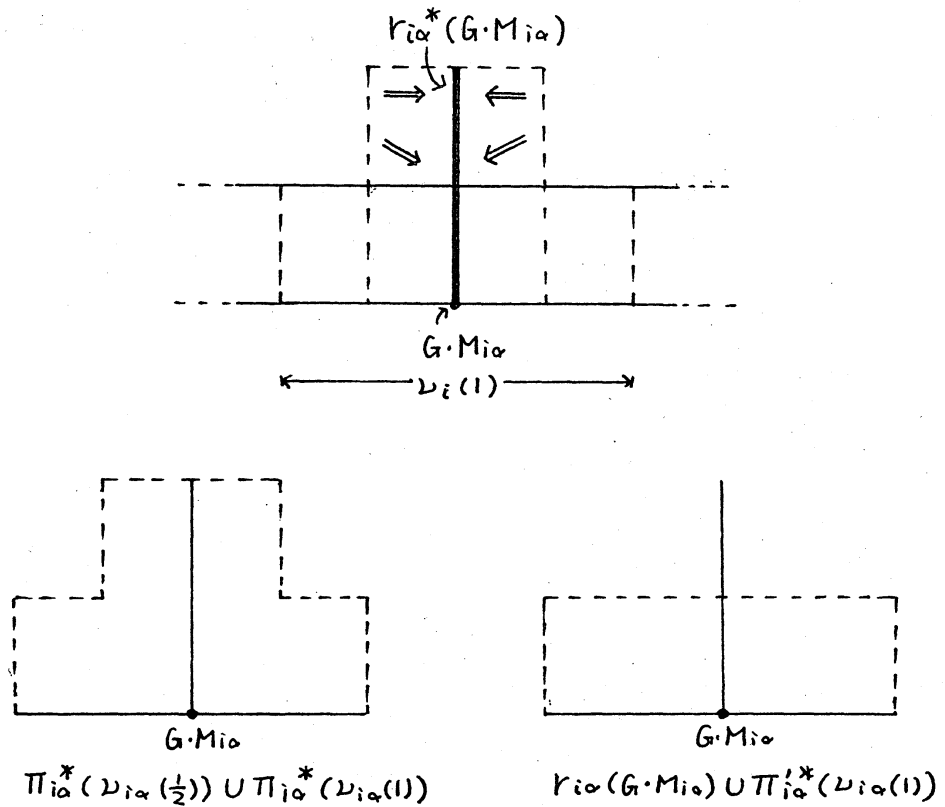


figure 2.

Thus we have

By Lemma 2, we get

$$\tau(W', M) = \tau_{(H_i), \alpha}.$$

This completes the proof of Theorem 1.  $\square$

In the same manner as in the non equivariant case,  
we can prove

Theorem 4 (G-Uniqueness Theorem). Let  $(W_1; M, M_1)$   
and  $(W_2; M, M_2)$  be two  $G$ -h-cobordisms which satisfy the  
conditions (1) and (2) above. If  $\tau(W_1, M) = \tau(W_2, M)$ ,  
then we have a  $G$ -diffeomorphism

$$W_1 \cong W_2 \text{ rel } M.$$

So we can classify  $G$ -h-cobordisms in terms of the  
equivariant Whitehead torsions.

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